

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

SYLVESTER CANTU LOPEZ,
SR., }
Petitioner, }
v. }
SUPERINTENDENT JEFF UTTECHT, }
Respondent. }
No. CV-07-5030-LRS
**ORDER DENYING
PETITION FOR WRIT
OF HABEAS CORPUS**

BEFORE THE COURT is Petitioner's pro se Petition for Writ of Habeas Corpus pursuant to 28 U.S.C. §2254 (Ct. Rec. 1), referred to herein as “§2254 Petition.”¹

I. BACKGROUND

On May 3, 2000, a Walla Walla County Superior Court jury found the Petitioner guilty of two counts of first degree assault, two counts of second degree assault, and unlawful possession of a firearm. Petitioner appealed his convictions and in 2001, the Washington Court of Appeals reversed the unlawful possession

¹ There is no need for an evidentiary hearing. All of the issues presented can be and have been resolved on the basis of the state court record presented to this court. *Totten v. Merkle*, 137 F.3d 1172, 1176 (9th Cir. 1998). As to a claim regarding sufficiency of the evidence, this court's review is limited to the "record" evidence. *Herrera v. Collins*, 506 U.S. 390, 402, 113 S.Ct. 853 (1993).

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1 of a firearm conviction, concluding that Petitioner had received ineffective
 2 assistance from his counsel. The court of appeals also vacated the Petitioner's
 3 persistent offender sentence and remanded for re-sentencing. *State v. Lopez*, 107
 4 Wn.App. 270, 280, 27 P.3d 237 (2001). The State petitioned for review by the
 5 Washington State Supreme Court. The supreme court granted review, affirmed
 6 the court of appeals, and remanded for re-sentencing. *State v. Lopez*, 147 Wn.2d
 7 515, 55 P.3d 609 (2002). The supreme court issued its mandate on November 5,
 8 2002.

9 A new judgment and sentence was imposed on February 5, 2003, pursuant
 10 to which Petitioner is now serving 297 total months of confinement. Through
 11 counsel, Petitioner appealed the new judgment and sentence, contending the
 12 court's imposition of consecutive sentences (189 months and 108 months on the
 13 two counts of first degree assault) violated the doctrine of collateral estoppel. In
 14 an unpublished opinion filed April 22, 2004, the Washington Court of Appeals
 15 affirmed the trial court. Through counsel, Petitioner petitioned the state supreme
 16 court for discretionary review. The state supreme court denied the petition for
 17 review without comment in an order dated January 4, 2005. Thereafter, the court
 18 of appeals issued its mandate on January 21, 2005.

19 In October 2004, prior to the conclusion of the second direct review, the
 20 Petitioner filed a *pro se* CrR 7.8 motion in the Walla Walla County Superior Court
 21 contending the evidence was insufficient to support his convictions.² The trial
 22 court denied the motion and with the assistance of counsel, Petitioner took an
 23 appeal to the Washington Court of Appeals. In an order filed June 29, 2006, the
 24 court of appeals affirmed the trial court's denial of the motion. No petition for

25
 26
 27 ² A CrR 7.8 motion is one for relief from judgment based on mistake, newly
 28 discovered evidence, fraud, a void judgment, or for any other reason justifying
 relief.

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1 discretionary review was filed with the state supreme court and on August 24,
2 2006, the court of appeals issued its mandate.

3 Petitioner filed a personal restraint petition with the Washington Supreme
4 Court on November 6, 2006 claiming the evidence presented at trial was
5 insufficient to support his convictions. On January 29, 2007, the state supreme
6 court issued a “Ruling Dismissing Personal Restraint Petition.” The ruling, issued
7 by a supreme court commissioner, found the personal restraint petition was not an
8 improper attempt to obtain review of the June 29, 2006 court of appeals decision
9 affirming denial of the CrR 7.8 motion in Walla Walla County Superior Court.
10 This was so because the court of appeals did not reach the merits of the claim,
11 holding that insufficiency of evidence was not an issue that could be raised in a
12 CrR 7.8 motion and that Petitioner had acknowledged as much in his appellate
13 brief by noting that insufficiency of evidence is a subject for an appeal or a
14 personal restraint petition. (Ex. 3 to Ct. Rec. 16 at p. 6). The supreme court
15 commissioner nonetheless dismissed the personal restraint petition, finding that
16 Petitioner had failed to show that when viewing the totality of the evidence in the
17 light most favorable to the State, that no rational trier of fact would have been
18 justified in finding him guilty beyond a reasonable doubt. Petitioner subsequently
19 filed a motion seeking to modify the commissioner’s ruling. On April 4, 2007, the
20 Washington Supreme Court issued an order denying the motion and on April 5,
21 issued a “Certificate Of Finality.”

22 Petitioner filed another personal restraint petition with the Washington
23 Supreme Court on March 14, 2007, again challenging the sufficiency of the
24 evidence for his convictions. On September 27, 2007, a state supreme court
25 commissioner issued a “Ruling Granting Motion To Strike And Dismissing
26 Personal Restraint Petition.” In addition to granting the State’s motion to strike
27 certain exhibits which the Petitioner submitted in support of his personal restraint
28 petition, the commissioner dismissed the petition as untimely because it was not

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1 filed within one year after his judgment and sentence became final.
 2
 3

II. DISCUSSION

A. Double Jeopardy Claim

5 Petitioner's §2254 Petition raises a single ground for relief, that being there
 6 was insufficient evidence to support Petitioner's convictions for First Degree
 7 Assault. State court remedies with regard to this ground for relief have properly
 8 been exhausted. In a "Supplemental Answer" filed with the court, the Respondent
 9 acknowledged as much and addressed the merits of the single ground for relief
 10 asserted in the Petition. The court directed Petitioner to serve and file a reply brief
 11 regarding the merits.

12 In his reply brief, Petitioner presents an additional ground for relief which
 13 was not included in his §2254 Petition. Petitioner asks that this court "rule that the
 14 one discharge of the weapon during an argument be considered a single offense
 15 and all charges stemming from the incident as one criminal act." The court will
 16 not consider this ground for relief as it was not set forth as a ground for relief in
 17 the Petition. Rule 2(c)(1) of *Rules Governing Section 2254 Cases In The United*
18 States District Courts ("The petition must . . . specify all the grounds for relief
 19 available to the petitioner"). "A Traverse is not the proper pleading to raise
 20 additional grounds for [habeas] relief." *Cacoperdo v. Demosthenes*, 37 F.3d 504,
 21 507 (9th Cir. 1994). Petitioner has not moved to amend his Petition.

22 In any event, to the extent Petitioner suggests he has some type of federal
 23 constitutional claim regarding this ground for relief (i.e., double jeopardy claim
 24 premised on Fifth and Fourteenth Amendments), the record shows that Petitioner
 25 did not present such a claim to the state courts and he is now procedurally barred
 26 from doing so. A claim is considered exhausted when it has been fully and fairly
 27 presented to the state supreme court for resolution under federal constitutional
 28 law. *Anderson v. Harless*, 459 U.S. 4, 6, 103 S.Ct. 276 (1982). Exhaustion

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1 requires a petitioner to have raised the claim in the state's highest court as a
2 federal claim, not merely as a state law equivalent of that claim. *Duncan v. Henry*,
3 513 U.S., 364, 365-66, 115 S.Ct. 887 (1995).

4 At his re-sentencing in February 2003, the Walla Walla County Superior
5 Court imposed two consecutive sentences for the Petitioner's first degree assault
6 convictions, and concurrent sentences for his second degree assault convictions.
7 On appeal, Petitioner contended the trial court erred in imposing consecutive
8 sentences based on the existence of separate victims. The Washington Court of
9 Appeals affirmed the sentences in a decision filed April 22, 2004. The court of
10 appeals noted that under state law, the doctrine of collateral estoppel does not
11 apply to re-sentencing after reversal of the original sentence. Thus, the collateral
12 estoppel doctrine did not preclude imposition of consecutive sentences on
13 Petitioner's re-sentencing, even though the trial court had imposed four concurrent
14 sentences during the original sentencing. Furthermore, the court of appeals found
15 under state law that because the two first degree assault convictions involved
16 separate victims, the "same criminal conduct" was not involved in each offense
17 and therefore, imposition of consecutive sentences was appropriate because each
18 conviction involved a "separate and distinct" crime. (Ex. 16 to Ct. Rec. 15). As
19 noted above, the state supreme court subsequently denied the Petitioner's petition
20 for discretionary review without comment in an order dated January 4, 2005.

21 Petitioner did not properly exhaust this as a federal constitutional claim in
22 state court and he is now barred from doing so by virtue of a mandatory rule of
23 state procedure, specifically RCW 10.73.140 which prohibits the filing of
24 successive collateral challenges. ("If a person has previously filed a petition for
25 personal restraint petition, the court of appeals will not consider the petition unless
26 the person certifies that he or she has not filed a previous petition on similar
27 grounds, and shows good cause why the petitioner did not raise the new grounds
28 in the previous petition"). See also Washington Rules of Appellate Procedure

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1 16.4(d)(“No more than one petition for similar relief on behalf of the same
 2 petitioner will be entertained without good cause shown”).

3 Because Petitioner cannot file a second personal restraint petition raising a
 4 federal constitutional double jeopardy claim, this federal court is procedurally
 5 barred from reviewing such a claim, absent a showing of cause and prejudice.
 6 *Coleman v. Thompson*, 501 U.S. 722, 735 n.1, 111 S.Ct. 2546 (1991); *Zichko v.*
 7 *Idaho*, 247 F.3d 1015, 1021 (9th Cir. 2001). Cause may be demonstrated by
 8 showing that “some objective factor external to the defense” prevented Petitioner
 9 from complying with state procedural rules relating to presentation of his claims.
 10 *McCleskey v. Zant*, 499 U.S. 467, 493-94, 111 S.Ct. 1454 (1991). There is no
 11 apparent “objective factor external to the defense” which would have precluded
 12 Petitioner from including a federal constitutional double jeopardy claim in the
 13 personal restraint petition which he previously filed with the state supreme court
 14 and which presented the federal constitutional claim regarding alleged
 15 insufficiency of evidence.

16 **B. Insufficiency Of Evidence Claim**

17 A conviction must be upheld if, “after viewing the evidence in the light
 18 most favorable to the prosecution, any rational trier of fact could have found the
 19 essential elements of the crime beyond a reasonable doubt.” *Jackson v. Virginia*,
 20 443 U.S. 307, 319, 99 S.Ct. 2781 (1979). “[T]he standard must be applied with
 21 explicit reference to the substantive elements of the criminal offense as defined by
 22 state law.” *Id.* at 324 n. 16. All conflicting inferences are presumed to have been
 23 resolved in favor of the prosecution. *Schell v. Witek*, 218 F.3d 1017, 1023 (9th Cir.
 24 2000)(en banc).

25 A person is guilty of assault in the first degree if he or she, with intent to
 26 inflict great bodily harm, assaults another with a firearm or any deadly weapon or
 27 by any force or means likely to produce great bodily harm or death. RCW

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1 9A.36.011(1)(a). Specific intent is an element. The State must prove a defendant
 2 intended to inflict great bodily harm. *State v. Thomas*, 123 Wn.App. 771, 779, 98
 3 P.3d 1258 (2004). “Great bodily harm” is defined as “bodily injury which creates
 4 a probability of death, or which causes significant serious permanent
 5 disfigurement, or which causes a significant permanent loss of impairment of the
 6 function of any bodily part or organ.” RCW 9A.04.110(4)(c).

7 Petitioner contends the evidence presented at his trial was insufficient for
 8 any rational trier of fact to conclude that he intended to inflict great bodily harm
 9 on Jose Corona, Sr., and Jose Corona, Jr.³ According to Petitioner, the trial
 10 testimony of Jose Corona, Sr., and Refugio Chavez was that although Petitioner
 11 initially pointed a gun at Jose Corona, Sr., the Petitioner then fired the gun away
 12 from Jose Corona, Sr. (fired five or six feet away from Corona, Sr.; fired into the
 13 yard). Petitioner asserts there was no testimony that he actually fired the gun at
 14 Corona, Sr., and therefore, no rational trier of fact could have concluded he
 15 intended to inflict great bodily harm on Corona, Sr.

16 Petitioner asserts the evidence presented at trial showed that Jose Corona,
 17 Jr., was standing on the porch of his aunt’s (Maria Guadalupe Rodriguez’s) house
 18 when the gun was fired and that considering where the slug hit the ground in
 19 relation to where Corona, Jr., was standing, no rational trier of fact could have
 20 concluded that Petitioner intended to inflict great bodily harm on Corona, Jr. In
 21 fact, Petitioner asserts the evidence establishes that he did not even see Corona, Jr.
 22 on the porch when he [Petitioner] fired the gun.

23 At trial, Jose Corona, Sr., testified he was at the home of his sister, Maria
 24 Guadalupe Rodriguez on the day of the incident (September 11, 1999). His
 25 nephew, Ramon Herrera, came running into the house to advise that Corona’s

26
 27 ³ Defendant was convicted of second degree assault with respect to Elizabeth
 28 Corona and Ramon Herrera.

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1 brother, Juan, was being beaten up outside by the Petitioner and another man.
 2 Corona said he ran outside and confronted the Petitioner and that Defendant then
 3 told Corona that he [the Petitioner] was going to retrieve his pistol. According to
 4 Corona, he then saw the Defendant “running with the pistol in his hand and
 5 shouting at me he was going to kill me.” Corona said he then tried to grab his
 6 children, Jose Corona, Jr., and Elizabeth, who were outside at the time, in an
 7 attempt to get them out of the way of harm. Corona testified he got the children
 8 onto the porch of his sister’s house when he saw the Defendant fire the weapon.
 9 He testified that Petitioner pointed the weapon at him but the bullet landed in the
 10 yard five or six feet away from him. (Tr. at pp. 130-154, Ex. 28 to Ct. Rec. 15).

11 Ramon Herrera corroborated the testimony of Corona, that Petitioner stated
 12 he intended to shoot Corona and that Petitioner pointed the weapon at Corona.
 13 (*Id.* at pp. 159, 161 and 162). Refugio Chavez, a neighbor of Maria Guadalupe
 14 Rodriguez, testified that he witnessed the incident. Chavez said he saw the
 15 Petitioner retrieve the pistol, point it at Corona and say he intended to kill him.
 16 According to Chavez, the children of Corona were in the yard outside the home of
 17 his sister at the time of the incident. Chavez testified that Petitioner discharged a
 18 single shot which landed in the yard. He further testified that at the time the shot
 19 was fired, Corona had managed to gather his children onto the porch of his sister’s
 20 house. (*Id.* at pp. 237-38). Maria Guadalupe Rodriguez testified she heard
 21 Petitioner say he was going to retrieve his pistol and kill Corona. (*Id.* at p. 249).

22 Viewing this evidence in the light most favorable to the prosecution, any
 23 rational trier of fact could have found the essential elements of first degree assault
 24 beyond a reasonable doubt, namely that Petitioner had the specific intent to inflict
 25 great bodily harm. Although the evidence indicates Petitioner specifically stated
 26 he intended to shoot and kill Jose Corona, Sr., there was sufficient evidence for the
 27 jury to convict the Petitioner of first degree assault upon Jose Corona, Jr. Per
 28 *State v. Wilson*, 125 Wn.2d 212, 218, 883 P.2d 320 (1994):

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1 The mens rea for [first degree assault] is the “intent
 2 to inflict great bodily harm.” Assault in the first degree
 3 requires a specific intent; but it does not, under all
 4 circumstances, require that the specific intent match a
 5 specific victim. Consequently, once the intent to inflict
 6 great bodily harm is established, usually by proving
 7 that the defendant intended to inflict great bodily harm
 8 on a specific person, the mens rea is transferred under
 9 RCW 9A.36.011 to any unintended victim.

10 In his “Opening Brief” (Ct. Rec. 4), Petitioner contends there was
 11 insufficient evidence to convict him of second degree assault, although second
 12 degree assault was not specifically mentioned in his Petition. Only the first degree
 13 assault convictions are specifically challenged in the Petition. In any event,
 14 viewing the evidence in the light most favorable to the prosecution, any rational
 15 trier of fact could have also found the essential elements of second degree assault
 16 beyond a reasonable doubt, those being an assault with a deadly weapon upon
 17 Elizabeth Corona and Ramon Herrera. RCW 9A.36.021(1)(c). (See also Tr. at pp.
 18 351-52, Jury Instructions Nos. 20, 21 and 22; Ex. 29 to Ct. Rec. 15). Evidence
 19 was presented from which a rational trier of fact could have found that all of the
 20 children- Jose Corona, Jr., Elizabeth Corona, and Ramon Herrera- were in the
 21 immediate vicinity of where the shot was fired (i.e., on the porch of the house of
 22 Maria Guadalupe Rodriguez).⁴

23 In his “Reply Brief” (Ct. Rec. 26), Petitioner contends, for the first time,

24 ⁴At trial, Petitioner testified that he was not the one who shot the weapon. Raul
 25 Montes testified on behalf of Petitioner that it was he (Montes) who shot the
 26 weapon. At the time of the shooting, the house of Maria Guadalupe Rodriguez
 27 was located next door to the house of Petitioner’s father. Petitioner testified he
 28 was inside his father’s house during the shooting and accordingly, there was no
 29 testimony from the Petitioner about the location of the children when the shot was
 30 fired. There is simply no evidence to support Petitioner’s assertion that Jose
 31 Corona, Jr., was not visible when the shot was fired. Moreover, even if the child
 32 had not been visible, that would not necessarily preclude a first degree assault
 33 conviction as to the child.

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1 that “[n]one of the alleged victims . . . had the requisite fear and apprehension
 2 element of common law assault because after the alleged incident[,] the testimony
 3 showed that they went out to see what happened.” In support of this argument,
 4 Petitioner cites *State v. Nicholson*, 119 Wn.App.855, 84 P.3d 877 (2003). Because
 5 Petitioner did not raise this argument in his opening brief and instead raises it for
 6 the first time in his reply brief, the Respondent has not had an opportunity to
 7 respond to the argument and normally, the court would disregard the argument for
 8 that reason. In any event, Petitioner’s argument is without merit.

9 The jury in Petitioner’s case was given a separate instruction setting forth
 10 the three common law definitions of assault. Instruction No. 6 (Tr. at pp. 344-45;
 11 Ex. 29 to Ct. Rec. 15) reads as follows:

12 An assault is an intentional touching, striking, cutting, or
 13 shooting of another person, with unlawful force, that is
 14 harmful or offensive regardless of whether any physical
 15 injury is done to the person. A touching, striking, cutting,
 16 or shooting is offensive, if the touching, striking, cutting, or
 17 shooting would offend an ordinary person who is not unduly
 18 sensitive.

19 An assault is also an act, with unlawful force, done with
 20 intent to inflict bodily injury upon another, tending, but
 21 failing to accomplish it and accompanied with the apparent
 22 present ability to inflict the bodily injury if not prevented.
 23 It is not necessary that bodily injury be inflicted.

24 An assault is also an act, with unlawful force, done with
 25 the intent to create in another apprehension and fear of
 26 bodily injury, and which in fact creates in another a
 27 reasonable apprehension and imminent fear of bodily
 28 injury even if the actor did not actually intend to inflict
 29 bodily injury.⁵

30 In *State v. Smith*, 159 Wn.2d 778, 154 P.3d 873 (2007), the same instruction
 31 was given to a jury which convicted the defendant of three counts of second
 32

33 ⁵ Page 344 is currently missing from the transcript supplied to the court. It is
 34 apparent from the first paragraph contained on Page 345, however, that this is the
 35 instruction that was given. The court has requested the Respondent to supplement
 36 the record with the missing Page 344.

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1 degree assault with a deadly weapon. The defendant contended the common law
2 definitions of assault constituted alternative means of committing the crime of
3 assault in whichever degree charged and that in order to uphold the jury's
4 unanimous verdict, there had to be substantial evidence in the record to support
5 each of the three definitions if submitted together in one instruction. The
6 Washington Supreme Court found the definitional instructions did not create
7 alternative means of committing the crime of second degree assault and therefore,
8 defendant's constitutional right to a unanimous jury verdict was not violated. As
9 with Petitioner in the case at bar, the defendant in *Smith* contended the evidence
10 did not support the "apprehension of harm" assault definition. *Id.* at 782, n. 3.

11 The state supreme court noted that alternative means crimes are ones that
12 provide that the proscribed criminal conduct may be proved in a variety of ways
13 and that, as a general rule, such crimes are set forth in a statute stating a single
14 offense, under which are set forth more than one means by which the offense may
15 be committed. Criminal assault is such a crime. *Id.* at 784. Thus, the second
16 degree criminal assault statute articulates a single criminal offense and then
17 provides six separate subsections by which the offense may be committed. RCW
18 9A.36.021(1)(a)-(f). Each of the six subsections represents an alternative means
19 of committing the crime of second degree assault. *Id.* The first degree criminal
20 assault statute articulates a single criminal offense and then provides three
21 separate subsections by which the offense may be committed. RCW
22 9A.36.011(1)(a)-(c). Each of the three subsections represents an alternative means
23 of committing the crime of first degree assault.

24 The state supreme court noted that in *State v. Linehan*, 147 Wn.2d 638, 56
25 P.3d 542 (2002), it had stated that the alternative means of committing criminal
26 assault are not provided for in the common law definitions, but rather "are
27 provided in the statutes delineating the degree of assault." *Smith*, 159 Wn.2d at
28 876, quoting *Linehan*, 147 Wn.2d at 646. According to the court:

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As applied to the criminal assault charging statutes, the common law assault definitions merely elaborate upon and clarify the terms “assault” or “assaults,” which are used throughout chapter 9A.36 RCW. Therefore, consistent with our prior jurisprudence, we decline to extend the reach of the alternative means doctrine beyond those statutory alternatives already directly provided for by the legislature in the assault charging statutes to encompass the common law assault definitions when submitted as a separate definitional instruction.

Id. at 877.

In arriving at this conclusion, the state supreme court specifically disapproved of a number of previous court of appeals decisions, **including *State v. Nicholson***, “to the extent those cases can be read as endorsing a hard and fast rule that the common law definitions of assault constitute alternative means of committing assault, thereby requiring substantial evidence to support each of the alternative means charged or instructed.” *Id.*

The second reason the state supreme court gave for holding that common law definitions of assault, when submitted in a jury instruction, do not constitute alternative means of committing assault, is that the definitions merely define an element of the crime charged, specifically the element of “assault.” *Id.* at 877-78. See also *Wilson*, 125 Wn.2d at 217-18 (“assault” is not defined in the criminal code and therefore, Washington courts turn to the common law for its definition and there are three such definitions: 1) an attempt, with unlawful force, to inflict bodily injury upon another (attempted battery); 2) an unlawful touching with criminal intent (actual battery); and 3) putting another in apprehension of harm whether or not the actor intends to inflict or is capable of inflicting the harm (common law assault)).

The *Smith* court noted the State had not alleged that Smith had committed second degree assault by more than one of the means listed in RCW 9A.36.021(1). Instead, the record plainly showed the jury was instructed on only one means of committing second degree assault: assault of another with a deadly weapon under

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1 RCW 9A.36.021(1)(c). Because separate means of committing the crime were not
 2 charged or submitted to the jury, *Smith* was not an alternative means case and the
 3 duty to determine whether sufficient evidence existed to support each separate
 4 means presented to the jury was not triggered. *Smith*, 159 Wn.2d at 790. Because
 5 the jury returned a unanimous guilty verdict on the three offenses of second degree
 6 assault with a deadly weapon, Smith's constitutional right to a unanimous jury
 7 verdict was neither implicated nor compromised and the state supreme court did
 8 not need to determine whether the evidence was insufficient to support her three
 9 convictions under each of the three alleged alternative definitional means of
 10 committing assault. *Id.* at 792.⁶

11 In the case at bar, the Petitioner was charged with four counts of first degree
 12 assault, specifically assault with intent to inflict great bodily harm by means of a
 13 firearm likely to produce great bodily harm or death. RCW 9A.36.011(1)(a). This
 14 is the attempted battery variety of criminal assault. The jury was instructed that
 15 “[a] person commits the crime of assault in the first degree when, with intent to
 16 inflict great bodily harm, he she assaults another with a firearm.” (Instruction No.
 17 7; Tr. at p. 345; Ex. 29 at Ct. Rec. 15). The jury was instructed that in order to
 18 convict the Petitioner of first degree assault, four elements had to be proven
 19 beyond a reasonable doubt: 1) that the Petitioner assaulted the named victim; 2)
 20 that the assault was committed with a firearm; 3) that the Petitioner acted with
 21 intent to inflict great bodily harm; and 4) that the acts occurred in Walla Walla
 22 County. The jury was not instructed that it had to find that any of the named
 23 victims suffered reasonable apprehension and properly so, considering the type of

24
 25 ⁶This court notes that the holding in *Smith* is consistent with the state supreme
 26 court's prior decision in *State v. Stewart*, 73 Wn.2d 701, 440 P.2d 815 (1968),
 27 wherein the court found that the apprehension of one assaulted is not a necessary
 28 element of first or second degree assault.

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1 first degree assault charged under the statute involved attempted battery and not
2 common law assault. (Instruction Nos. 11-14; Tr. at pp. 345-48; Ex. 29 at Ct. Rec.
3 15).

4 The jury was also instructed on the elements of second degree assault
5 because “[t]he crime of assault in the first degree necessarily includes the lesser
6 crime of assault in the second degree.” (Instruction No. 16; Tr. at p. 349; Ex. 29 at
7 Ct. Rec. 15). The jury was instructed that “[a] person commits the crime of assault
8 in the second degree when under circumstances not amounting to assault in the
9 first degree he assaults another with a deadly weapon.” (Instruction No. 17; Tr. at
10 p. 349; Ex. 29 at Ct. Rec. 15). The jury was instructed that in order to convict the
11 Petitioner of second degree assault, two elements had to be proven beyond a
12 reasonable doubt: 1) the Petitioner assaulted the named victim with a deadly
13 weapon and 2) the act occurred in Walla Walla County. (*Id.* at pp. 349-52). The
14 jury was not instructed that it had to find that any of the named victims suffered
15 reasonable apprehension and properly so, considering the type of second degree
16 assault at issue under the statute (RCW 9A.36.021(1)(c)) involved attempted
17 battery and not common law assault.

18 As in *Smith*, the record in the case at bar plainly shows the jury was
19 instructed on only one means of committing first degree assault (assault with a
20 firearm likely to produce great bodily harm or death under RCW 9A.36.011(1)(a)),
21 and one means of committing second degree assault (assault of another with a
22 deadly weapon under RCW 9A.36.021(1)(c)). Separate means of committing
23 these crimes were not charged or submitted to the Petitioner’s jury. Like *Smith*,
24 the case at bar was not an alternative means case and the duty to determine
25 whether sufficient evidence exists to support each separate means presented to the
26 jury is not triggered. The jury returned a unanimous guilty verdict on two counts
27 of first degree assault as to Jose Corona, Sr., and Jose Corona, Jr.. There was
28 sufficient evidence to establish beyond a reasonable doubt that Petitioner assaulted

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1 these victims with a firearm likely to produce great bodily harm or death. The jury
2 returned a unanimous guilty verdict on two counts of second degree assault as to
3 Elizabeth Corona and Ramon Herrera. There was sufficient evidence to establish
4 beyond a reasonable doubt that Petitioner assaulted these victims with a deadly
5 weapon.

6 In sum, based on *Smith*, even if it is assumed there was insufficient evidence
7 presented to establish that the victims of the assault did not experience reasonable
8 apprehension and imminent fear of bodily injury, the jury was presented with
9 sufficient evidence to convict Petitioner of first degree assault and second degree
10 assault based on the specific type of first degree assault and the specific type of
11 second degree assault on which the jury was instructed (attempted battery). As in
12 *Smith*, it is not necessary to determine whether the evidence was insufficient to
13 support Petitioner's convictions under each of the three alleged alternative
14 definitional means of committing assault (attempted battery, battery and common
15 law assault).

17 III. CONCLUSION

18 For all of the foregoing reasons, Petitioner's §2254 Petition (Ct. Rec. 1) is
19 **DENIED**.

20 **IT IS SO ORDERED.** The District Executive shall enter judgment
21 accordingly and forward copies of the judgment and this order to the Petitioner
22 and to counsel for the Respondent.

23 **DATED** this 11th of June, 2008.

24 *s/Lonny R. Suko*

25 _____
26 LONNY R. SUKO
United States District Judge
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